

Serial No.: 09/989,957

IN THE CLAIMS:

Please amend the claims as follows:

1. (Previously Presented) A method for rate-based flow control between a sender and a receiver, the method comprising:
 - (a) at a sender, sending packetized information to a receiver at a first rate;
 - (b) at the receiver:
 - (i) receiving the packetized information;
 - (ii) emulating at least one flow control function of a transmission control protocol (TCP) sender to compute a congestion window size based on the packetized information;
 - (iii) computing a round-trip time;
 - (iv) computing a transmission rate based on the congestion window size and the round-trip time;
 - (v) periodically transmitting the transmission rate to the sender; and
 - (c) at the sender, controlling the rate for sending the packetized information to the receiver based on the transmission rate received from the receiver and thereby utilizing a TCP-friendly share of available transmission bandwidth over a time interval.
2. (Original) The method of claim 1 wherein computing a congestion window size comprises computing an average congestion window size over a predetermined time interval, computing a round-trip time comprises computing

Serial No.: 09/989,957

an average round-trip time over the time interval, and computing the transmission rate includes dividing the average congestion window size by the average round-trip time.

3. (Original) The method of claim 1 wherein computing a congestion window size at the receiver includes incrementing the congestion window size by one segment in response to receiving a properly-sequenced packet from the sender.
4. (Original) The method of claim 1 wherein computing a congestion window size at the receiver includes maintaining a current congestion window size in response to receiving an improperly sequenced packet from the sender.
5. (Previously Presented) The method of claim 1 comprising, at the receiver, wherein emulating at least one flow control function of a TCP sender includes implementing a state machine including TCP-sender-like flow control states for adjusting the congestion window size.
6. (Original) The method of claim 5 wherein implementing a state machine includes implementing a state machine having a slow start state in which the congestion window size is incremented by one segment in response to receiving a properly-sequenced packet from the sender.
7. (Original) The method of claim 6 wherein implementing a state machine includes implementing a state machine having a congestion avoidance state in which the congestion window size is increased by the inverse of a previous

Serial No.: 09/989,957

congestion window size in response to receiving a properly-sequenced packet from the sender.

8. (Original) The method of claim 7 wherein implementing a state machine comprises implementing a state machine including a gap state reachable from the slow start state and the congestion avoidance state in response to receiving an improperly sequenced packet from the sender.
9. (Original) The method of claim 8 comprising, in response to receiving a packet that triggered transition to the gap state, transitioning to the state that the receiver was in prior to entering the gap state.
10. (Original) The method of claim 8 wherein implementing a state machine comprises implementing a state machine having a fast recovery state reachable from the gap state in which the receiver reduces the congestion window size only once in response to multiple packet losses within a single congestion window.
11. (Original) The method of claim 1 wherein the sender adjusts the transmission rate without receiving per-packet acknowledgements from the receiver.
12. (Original) The method of claim 8 wherein computing a congestion window size comprises computing an average congestion window size over a predetermined time interval, computing a round-trip time comprises computing an average round-trip time over the time interval, and computing the transmission rate includes dividing the average congestion window size by the average round-trip time.

Serial No.: 09/989,957

13. (Original) The method of claim 12 comprising dynamically adjusting the predetermined time period based on the state of the receiver.
14. (Original) The method of claim 13 wherein dynamically adjusting the predetermined time period includes setting the time period equal to a value equal to the time difference between a first time when the receiver enters the slow start or congestion avoidance state and a second time when the receiver re-enters the slow-start or congestion avoidance state.
15. (Previously Presented) The method of claim 1 wherein computing a round-trip time includes estimating the roundtrip time based on the time to receive packets from the sender equal to a current congestion window size.
16. (Original) The method of claim 1 wherein computing the transmission rate includes computing the transmission rate based on a weighted average of a plurality of congestion window sizes divided by corresponding roundtrip times.
17. (Original) The method of claim 16 wherein computing a weighted average includes weighting recent congestion window sizes more heavily than older congestion window sizes.
18. (Currently Amended) A receiver-based system for controlling flow of packetized data between a sender and a receiver, the system comprising:
 - (a) a sender for sending packetized data over a network; and
 - (b) a receiver for receiving the packetized data from the sender for computing a round-trip time and for emulating at least one flow control (TCP) function of a transmission control protocol (TCP) sender to

Serial No.: 09/989,957

compute a congestion window size ~~and round-trip time~~ and using the congestion window size and the round-trip time to compute a transmission rate and for forwarding the transmission rate to the sender, wherein the sender adjusts its rate for sending the packetized data to the receiver based on the transmission rate and thereby utilizes a TCP-friendly share of available transmission bandwidth over a time interval.

19. (Currently Amended) The system of claim 18 wherein the receiver is adapted to compute the congestion window size and ~~[[a]]~~ the round-trip time based on packets received from the sender.
20. (Original) The system of claim 19 wherein the receiver is adapted to compute an average congestion window size and an average round-trip time over a predetermined time interval and to compute the transmission rate based on the average congestion window size and the average round-trip time, thereby smoothing fluctuations in the transmission rate.
21. (Previously Presented) The system of claim 19 wherein the receiver is adapted to implement a state machine including TCP-sender-like flow control states for adjusting the congestion window size used to compute the transmission rate.
22. (Original) The system of claim 21 wherein the state machine includes a slow start state in which the congestion window size is incremented by one

Serial No.: 09/989,957

segment in response to receiving a properly-sequenced packet from the sender.

23. (Original) The system of claim 22 wherein the state machine includes a congestion avoidance state in which the congestion window size is increased by the inverse of a previous congestion window size in response to receiving a properly-sequenced packet from the sender.
24. (Original) The system of claim 23 wherein the state machine includes a gap state reachable from the slow start state and the congestion avoidance state in response to receiving an improperly sequenced packet from the sender.
25. (Original) The system of claim 24 wherein, in response to receiving a packet that triggered transition to the gap state, the receiver is adapted to transition to the state that the receiver was in prior to entering the gap state.
26. (Original) The system of claim 24 wherein the state machine includes a fast recovery state reachable from the gap state in which the receiver reduces the congestion window size only once in response to multiple packet losses within a single congestion window.
27. (Original) The system of claim 18 wherein the sender is adapted to adjust its rate for sending packetized data to the receiver without receiving per-packet acknowledgements from the receiver.
28. (Original) The system of claim 24 wherein the receiver is adapted to compute an average congestion window size and an average round-trip time over a predetermined time interval and to compute the transmission rate based on

Serial No.: 09/989,957

the average congestion window size and the average round-trip time, thereby smoothing fluctuations in the transmission rate.

29. (Original) The system of claim 28 wherein the receiver is adapted to dynamically adjust the predetermined time period based on the state of the receiver.
30. (Original) The system of claim 29 wherein dynamically adjusting the predetermined time period includes setting the time period equal to a value equal to the time difference between a first time when the receiver enters the slow start or congestion avoidance state and a second time when the receiver re-enters the slow-start or congestion avoidance state.
31. (Original) The system of claim 15 wherein the receiver is adapted to compute the transmission rate based on a weighted average of congestion window sizes.
32. (Previously Presented) The method of claim 1 wherein utilizing a TCP-friendly share of available transmission bandwidth over a time interval includes utilizing a share of the available transmission bandwidth that is substantially equal to B/m where B is the total bandwidth used by n TCP flows and m is the total number of flows of any protocol that use the available transmission bandwidth during the time interval.
33. (Previously Presented) The system of claim 18 wherein, in utilizing a TCP-friendly share of the available transmission bandwidth, the sender is adapted to utilize a share of the available transmission bandwidth that is substantially

Serial No.: 09/989,957

equal to B/m where B is the total bandwidth used by n TCP flows and m is the total number of flows of any protocol that use the available transmission bandwidth during the time interval.